

The COSO 2013 Internal Control Framework and the Impact on Sarbanes-Oxley (SOX 404) and NI 52-109 CEO/ CFO Certification Compliance

What Boards and Senior Officers **Need** to Know

April 6, 2022

11:00 am - 12:15 pm EASTERN

PLEASE NOTE:

Session time shown above is in Eastern Time Zone

(N. America – 11am Eastern | 10am Central | 9am Mountain | 8am Pacific | U.K. – 4pm)

Session is WEB BASED only

SPEAKERS:



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TARGET AUDIENCE:

Board Members, CEO, CFO, Controllers, Head of Internal Audit

AGENDA:

- What is COSO 2013 and Why Should Board Members and Senior Officers Care
 - Important Areas and Benefits in Terms of Risk Management, Identification of Fraud, Alignment of Objectives and Responsibilities
 - Board Responsibilities and Enhanced Corporate Governance including:
 - “Tone-At-The-Top” Activities including Ethics, Integrity
 - Enterprise Risk Management – Identification and Oversight
 - Cybersecurity and Third-Party Relationships
- COSO 2013 Impact on Sarbanes-Oxley (SOX 404) and National Instrument 52-109 Certification of Disclosure in Issuers’ Annual and Interim Filings
 - Changes and Impacts
 - Examples of Financial and Operational Processes Using the COSO 2013 Internal Controls Framework
- Implementation Considerations
 - Conduct a Gap Analysis - Proactive versus Reactive
 - Timelines for Effective Implementation Prior to Year-End Reporting
 - What Board Members Should Be Asking Themselves and Management
 - Four Tough Questions and Examples of “Red” Flags

REGISTRATION INFORMATION:

To register, send an email to: cb@issuescentral.com. Webcast session is \$145 CDN per person exclusive of applicable Canadian taxes. Presentation materials will be provided 24 hours prior to the session. Course certificate will only be provided based on seminar attendance.

Cancellation Policy:

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