The COSO 2013 Internal Control Framework and the Impact on Sarbanes-Oxley (SOX 404) and NI 52-109 CEO/ CFO Certification Compliance

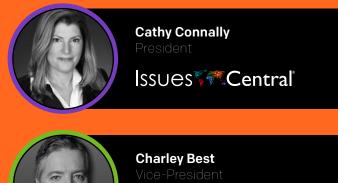
# What Boards and Senior Officers **Need** to Know

# **April 6, 2022** 11:00 am - 12:15 pm EASTERN

PLEASE NOTE: Session time shown above is in Eastern Time Zone (N. America – 11am Eastern | 10am Central | 9am Mountain | 8am Pacific | U.K. – 4pm)

## Session is WEB BASED only

# SPEAKERS:





## FOR ADDITIONAL CONTACT INFORMATION:

Issues Central, Inc. 121 Richmond Street West Suite 1000 Toronto, ON Canada M5H 2K1 International: + 14169771496 ext 3 North American Toll Free: + 18004106681

Email: info@issuescentral.com



### TARGET AUDIENCE:

Board Members, CEO, CFO, Controllers, Head of Internal Audit

### AGENDA:

- What is COSO 2013 and Why Should Board Members and Senior Officers Care
  - Important Areas and Benefits in Terms of Risk Management, Identification of Fraud, Alignment of Objectives and Responsibilities
  - Board Responsibilities and Enhanced Corporate Governance including:
    - "Tone-At-The-Top" Activities including Ethics, Integrity
    - Enterprise Risk Management Identification and Oversight
    - Cybersecurity and Third-Party Relationships
- COSO 2013 Impact on Sarbanes-Oxley (SOX 404) and National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings
  - Changes and Impacts
  - Examples of Financial and Operational Processes Using the COSO 2013 Internal Controls Framework
- Implementation Considerations
  - Conduct a Gap Analysis Proactive versus Reactive
  - Timelines for Effective Implementation Prior to Year-End Reporting
  - What Board Members Should Be Asking Themselves and Management
  - Four Tough Questions and Examples of "Red" Flags

## **REGISTRATION INFORMATION:**

To register, send an email to: **cb@issuescentral.com**. Webcast session is \$145 CDN per person exclusive of applicable Canadian taxes. Presentation materials will be provided 24 hours prior to the session. Course certificate will only be provided based on seminar attendance.

#### **Cancellation Policy:**

A registered attendee can cancel their registration with no less than 48 hours notice and receive 100% refund for all cancellations under taken formally via e-mail to **events@issuescentral.com**. Payment details will need to be sent along with the cancellation request.

