

# The **NEW** COSO 2013 Internal Control Framework and the Impact on Sarbanes-Oxley (SOX 404) and NI 52-109 CEO/ CFO Certification Compliance

## What Boards and Senior Officers **Need** to Know

### June 25, 2015

11:00 am - 12:30 pm EASTERN

#### PLEASE NOTE:

All session times shown above are in Eastern Time Zone  
(N. America – 11am Eastern | 10am Central | 9am Mountain | 8am Pacific | U.K. – 4pm)

Session are 1.5 Hrs long and are **WEB BASED** only



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#### TARGET AUDIENCE:

Board Members, CEO, CFO, Controllers, Head of Internal Audit

#### AGENDA:

- What is COSO 2013 and Why Should Board Members and Senior Officers Care
  - Important Areas and Benefits in Terms of Risk Management, Identification of Fraud, Alignment of Objectives and Responsibilities
- Board Responsibilities and Enhanced Corporate Governance including:
  - “Tone-At-The-Top” Activities including Ethics, Integrity
  - Enterprise Risk Management – Identification and Oversight
  - Cybersecurity and Third-Party Relationships
- COSO 2013 Impact on Sarbanes-Oxley (SOX 404) and National Instrument 52-109 Certification of Disclosure in Issuers’ Annual and Interim Filings
  - Changes and Impacts
  - Examples of Financial and Operational Processes Using the COSO 2013 Internal Controls Framework
- Implementation Considerations
  - Conduct a Gap Analysis - Proactive versus Reactive
  - Timelines for Effective Implementation Prior to Year-End Reporting
  - What Board Members Should Be Asking Themselves and Management
  - Four Tough Questions and Examples of “Red” Flags

#### REGISTER ON-LINE:

**Registration:** You can register [HERE](#) . You will be redirected to the Events page of the Issues Central website. Each webcast session is \$145 CDN per person exclusive of applicable Canadian taxes. All five sessions can be attended based on a \$595 CDN per person payment. Presentation materials will be provided 24 hours prior to each session. Course certificates will only be provided based on seminar attendance. Registration and payment can be done on-line.

**Cancellation Policy:** A Registered Attendee can cancel their Registration with no less than 48 hours notice and receive 100% refund for all cancellations under taken formally via e-mail to [events@issuescentral.com](mailto:events@issuescentral.com) . Payment details will need to be sent along with the cancellation request.

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